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COMMO T CO., LTD.

RISK AND DISCLOSURE STATEMENT

Effective date 01st January 2026

Version: 01

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PART I –INTRODUCTION

1. Scope

1.1 This Risk Disclosure Statement applies to all prospective and existing Clients who apply for, open, maintain, or use a trading account with COMMO T CO., LTD.

1.2 This Statement should be read before opening a trading account and throughout the Client's business relationship with the Company.

1.3 This Statement applies to all products and services provided by the Company in accordance with applicable laws, regulations, regulatory requirements, and the Company's operating model.

1.4 This Statement should be read together with the Company's Terms and Conditions, Privacy Policy, Complaint Handling Policy, Risk Assessment Questionnaire, and any other documents issued by the Company.

2. Purpose of this Risk Disclosure Statement

2.1 This Risk Disclosure Statement ("Statement") is provided by COMMO T CO., LTD. ("Company") to help Clients understand the risks associated with derivative trading and the services provided by the Company.

2.2 The purpose of this Statement is to provide general information regarding the nature and risks of derivative trading. It is intended to assist Clients in making informed decisions before opening an account and engaging in trading activities.

2.3 This Statement does not disclose every risk associated with derivative trading. Clients should carefully consider their financial situation, investment objectives, trading experience, and risk tolerance before participating in derivative trading.

2.4 Clients should only trade with funds they can afford to lose and should ensure they fully understand the risks involved before entering into any transaction.

2.5 This Statement should be read together with the following documents, as amended from time to time:

a. Terms and Conditions;
b. Risk Assessment Questionnaire;
c. Privacy Policy;

d. Complaint Handling Policy.

3. Important Notice

3.1 Derivative trading involves a high level of risk and may not be suitable for all individuals.

3.2 Trading activities may result in partial or total loss of deposited funds.

3.3 Past performance is not a guarantee or indication of future performance.

3.4 Market conditions may change rapidly and may affect trading positions, account balances, and transaction outcomes.

3.5 Clients are solely responsible for their trading decisions and the consequences arising from those decisions.

3.6 This Risk Disclosure Statement describes the principal risks associated with derivative trading. It does not identify every possible risk that may arise. Clients should carefully consider their personal circumstances and seek independent professional advice where appropriate before engaging in trading activities.

3.7 This Risk Disclosure Statement is provided for general information purposes only and should not be regarded as legal, tax, financial, or investment advice.

4. Nature of Derivative Trading

4.1 Derivative trading involves entering into transactions whose value is derived from the price movements of underlying financial instruments, commodities, currencies, indices, or other assets.

4.2 The Company provides access to derivative trading services as a licensed Derivative Broker in accordance with applicable laws, regulations, and regulatory requirements.

4.3 The Company operates through arrangements involving the Central Counterparty (CCP), approved financial institutions, and other authorized service providers where applicable.

4.4 Trading activities involve financial, operational, technological, legal, and market-related risks that may result in losses.

4.5 Clients should ensure that they understand the characteristics and risks of each derivative product before entering into any transaction.

5. Execution-Only Services

5.1 The Company provides its services on an execution-only basis.

5.2 The Company does not provide investment advice, portfolio management services, tax advice, legal advice, or financial planning services.

5.3 Any market information, research materials, educational content, news, commentary, analysis, or other information provided by the Company is for general informational purposes only.

5.4 Clients are solely responsible for evaluating the suitability and appropriateness of any transaction.

6. No Advice

6.1 The Client acknowledges that all trading decisions are made independently by the Client.

6.2 The Company does not recommend, endorse, or guarantee any trading strategy, transaction, trading outcome, or profit expectation.

6.3 Clients should seek independent professional advice where appropriate before engaging in trading activities.

PART II –GENERAL TRADING RISKS

7. Risk of Loss

7.1 Derivative trading involves a significant risk of financial loss.

7.2 Clients may lose part or all of the funds deposited for trading purposes.

7.3 Market conditions may change rapidly and unexpectedly, resulting in gains or losses within a short period of time.

7.4 The Company does not guarantee profits, investment returns, or protection against losses.

7.5 Clients should only engage in trading activities after carefully considering their financial circumstances and ability to bear potential losses.

8. Leverage Risk

8.1 Derivative trading may involve the use of leverage.

8.2 Leverage allows Clients to enter into transactions with a relatively small amount of funds compared to the overall value of the transaction.

8.3 While leverage may increase potential profits, it may also significantly increase potential losses.

8.4 Small market movements may have a substantial impact on a Client's account balance when leverage is applied.

8.5 Clients should fully understand the effects of leverage before entering into transactions.

9. Margin Risk

9.1 Certain trading activities may require Clients to maintain margin requirements.

9.2 Clients may be required to maintain sufficient funds to support open positions and comply with applicable trading requirements.

9.3 Failure to maintain sufficient margin may result in restrictions, position reductions, position closures, or other actions in accordance with applicable procedures.

9.4 Market movements may affect margin levels rapidly and without prior warning.

9.5 Clients are responsible for monitoring their account balances and margin requirements at all times.

10. Market Risk

10.1 Financial markets may be affected by economic, political, social, regulatory, environmental, and other events.

10.2 Market conditions may change rapidly and unpredictably.

10.3 Changes in market conditions may affect prices, liquidity, trading opportunities, and transaction outcomes.

10.4 The Company has no control over market movements or market conditions.

11. Volatility Risk

11.1 Prices of financial instruments may fluctuate significantly over short periods of time.

11.2 High market volatility may increase the risk of losses.

11.3 During periods of volatility, prices may move rapidly and unexpectedly.

11.4 Volatility may affect the availability of prices, execution of orders, and overall trading conditions.

12. Liquidity Risk

12.1 Liquidity refers to the ability to enter into or exit a transaction at or near the expected price.

12.2 Under certain market conditions, sufficient liquidity may not be available.

12.3 Reduced liquidity may result in delays, wider spreads, price fluctuations, or difficulties in opening or closing positions.

12.4 Clients may be unable to execute transactions at their preferred prices during periods of low liquidity.

13. Price Gap Risk

13.1 Market prices may move from one level to another without trading at intermediate prices.

13.2 Such price movements are commonly referred to as price gaps.

13.3 Price gaps may occur during market openings, market closings, major news events, economic announcements, geopolitical developments, or other significant market events.

13.4 Price gaps may result in execution prices differing from expected prices.

14. Slippage Risk

14.1 Slippage occurs when a transaction is executed at a price different from the price expected by the Client.

14.2 Slippage may occur due to market volatility, liquidity conditions, order size, market disruptions, or other factors.

14.3 Slippage may be positive or negative.

14.4 The Company cannot guarantee that transactions will always be executed at the exact price requested by the Client.

14.5 Clients acknowledge that slippage is a normal characteristic of financial markets and may occur under various market conditions.

PART III – OPERATIONAL RISKS

15.Trading Platform Risk

15.1 The Company may provide Clients with access to one or more electronic trading platforms.

15.2 Trading platforms rely on technology, software, hardware, communication systems, and external service providers.

15.3 The availability and performance of trading platforms may be affected by maintenance activities, technical issues, system upgrades, connectivity problems, or other operational factors.

15.4 Clients may experience delays, interruptions, inaccuracies, or temporary unavailability of trading services.

15.5 The Company does not guarantee uninterrupted access to any trading platform.

16.Internet and Communication Risk

16.1 Trading activities conducted through electronic systems depend on internet connectivity and communication networks.

16.2 Interruptions, delays, failures, congestion, or instability of internet services may affect access to trading platforms and account information.

16.3 Communication failures may result in delays in receiving market information, account notifications, transaction confirmations, or other communications.

16.4 The Company is not responsible for losses arising from failures or disruptions of internet services, communication networks, or services provided by third parties beyond the Company's control.

17.System Failure Risk

17.1 Electronic systems may be subject to hardware failures, software errors, technical malfunctions, data processing errors, or unexpected interruptions.

17.2 System failures may affect the execution, processing, transmission, confirmation, or recording of transactions.

17.3 Temporary outages or service interruptions may occur due to maintenance, upgrades, technical incidents, or unforeseen events.

17.4 The Company may take reasonable measures to maintain system reliability but cannot guarantee that systems will always operate without interruption or error.

18.Order Execution Risk

18.1 Orders submitted through electronic trading platforms may be subject to delays, rejections, cancellations, modifications, or execution at different prices depending on market conditions.

18.2 Under certain circumstances, orders may not be executed immediately or may not be executed at all.

18.3 Execution prices may differ from prices displayed at the time an order is placed.

18.4 Delays in order processing may occur due to market volatility, liquidity conditions, technical issues, communication delays, or other operational factors.

18.5 Clients acknowledge that order execution is subject to market conditions and operational limitations beyond the Company's direct control.

19.Cybersecurity Risk

19.1 Electronic trading systems may be exposed to cybersecurity threats, including unauthorized access, malware, phishing attacks, hacking attempts, data breaches, or other malicious activities.

19.2 Cybersecurity incidents may affect the availability, confidentiality, integrity, or security of information and systems.

19.3 Clients are responsible for maintaining the security of their login credentials, passwords, devices, and communication channels.

19.4 Clients should take reasonable precautions to protect their accounts and personal information from unauthorized access.

19.5 The Company may implement security measures and controls to protect its systems and services; however, no security system can completely eliminate cybersecurity risks.

PART IV –FINANCIAL RISKS

20.Currency Risk

20.1 Trading activities involving foreign currencies may be affected by fluctuations in exchange rates.

20.2 Changes in exchange rates may increase or decrease the value of transactions, account balances, profits, or losses.

20.3 Currency markets may be influenced by economic conditions, monetary policies, interest rates, political developments, market sentiment, and other factors.

20.4 Clients may be exposed to additional risks when trading products linked to foreign currencies.

21.Fees, Charges, and Financing Costs

21.1 Trading activities may be subject to fees, charges, commissions, spreads, financing costs, administrative costs, or other applicable charges.

21.2 Such costs may affect the overall profitability of trading activities.

21.3 Fees and charges may change from time to time in accordance with applicable laws, regulations, Company procedures, or market conditions.

22.Counterparty Risk

22.1 Financial transactions may involve counterparties, settlement participants, approved financial institutions, Central Counterparties (CCPs), or other authorized entities.

22.2 The performance of certain transactions may depend on the ability of relevant parties to fulfill their obligations.

22.3 Delays, failures, disruptions, or operational issues affecting counterparties or settlement participants may impact transaction processing or settlement activities.

22.4 The Company may take reasonable measures to work with authorized and approved service providers; however, the Company cannot eliminate all counterparty-related risks.

23.Banking and Settlement Risk

23.1 Deposits, withdrawals, and settlement activities may involve approved financial institutions, payment systems, banking networks, and settlement arrangements.

23.2 Delays, interruptions, operational failures, technical issues, or other events affecting financial institutions or settlement systems may impact transaction processing.

23.3 Client Money deposited for trading purposes is maintained through approved financial institutions under the applicable banking and settlement arrangements. The Company does not use Client Money for its own operational purposes. Deposits, withdrawals and settlement are processed in accordance with applicable laws, regulatory requirements and approved settlement arrangements.

23.4 The Company does not guarantee uninterrupted banking, payment, or settlement services.

24.Insolvency Risk

24.1 Financial institutions, service providers, counterparties, settlement participants, or other entities involved in trading and settlement processes may become subject to insolvency, restructuring, administration, liquidation, or similar proceedings.

24.2 Such events may affect transaction processing, settlement activities, access to services, or the timing of payments.

24.3 The Company is not responsible for losses resulting from the insolvency or failure of third parties beyond the Company's control.

24.4 Clients acknowledge that financial markets and related service providers may be exposed to risks associated with financial distress, operational failure, or insolvency.

PART V – REGULATORY AND COMPLIANCE RISKS

25. Regulatory and Legal Risk

25.1 Trading activities are subject to applicable laws, regulations, regulatory requirements, directives, guidelines, and other legal obligations.

25.2 Changes in laws, regulations, regulatory requirements, market rules, or governmental policies may affect trading activities, products, services, account operations, or transaction outcomes.

25.3 Regulatory authorities may introduce new requirements, restrictions, controls, reporting obligations, or compliance measures that may affect Clients.

25.4 The Company may implement changes to its products, services, procedures, systems, or operational requirements in order to comply with applicable laws, regulations, regulatory requirements, or instructions issued by competent regulatory authorities.

25.5 Regulatory changes may affect trading conditions, product availability, transaction processing, or access to certain services.

26. Tax Risk

26.1 Trading activities may give rise to tax obligations depending on the Client's personal circumstances and applicable laws.

26.2 Tax treatment may vary between jurisdictions and may change over time.

26.3 The Company does not provide tax advice and does not guarantee any particular tax treatment.

26.4 Clients are responsible for obtaining independent tax advice where appropriate and for complying with their tax obligations.

27. Anti-Money Laundering and Counter-Terrorist Financing (AML/CFT) Measures

27.1 The Company is required to comply with applicable anti-money laundering, counter-terrorist financing, sanctions, and other regulatory requirements.

27.2 The Company may conduct customer due diligence, identity verification, transaction monitoring, source of funds verification, source of wealth verification, and other compliance reviews.

27.3 Clients may be required to provide documents, information, explanations, or supporting evidence in connection with compliance reviews.

27.4 Failure to provide requested information or documents may result in delays, restrictions, suspension of services, rejection of transactions, or account closure in accordance with applicable laws and regulations.

27.5 The Company may refuse to establish or continue a business relationship where regulatory, compliance, or risk concerns arise.

28.Sanctions Compliance

28.1 The Company may be required to comply with sanctions laws, sanctions lists, watchlists, regulatory requirements, and other compliance obligations issued by competent regulatory authorities.

28.2 Clients, transactions, or activities may be subject to screening, review, investigation, restriction, rejection, suspension, or reporting where required by applicable laws or regulations.

28.3 The Company may take appropriate actions where sanctions-related concerns, compliance risks, or legal obligations arise.

29.Account Restrictions and Regulatory Actions

29.1 The Company may restrict, suspend, review, refuse, or terminate services, accounts, transactions, or activities where required by applicable laws, regulations, regulatory requirements, court orders, regulatory instructions, or compliance obligations.

29.2 Regulatory authorities, courts, governmental agencies, financial institutions, Central Counterparties (CCPs), or other competent parties may impose requirements, restrictions, investigations, reviews, or enforcement actions that affect account operations or trading activities.

29.3 The Company shall not be liable for losses arising from actions taken in order to comply with applicable laws, regulations, regulatory requirements, or lawful instructions issued by competent authorities.

29.4 Clients acknowledge that compliance requirements may affect the timing, processing, approval, restriction, suspension, or termination of transactions and services.

PART VI – MARKET DISRUPTION RISKS

30. Abnormal Market Conditions

30.1 Financial markets may experience abnormal or extraordinary conditions that affect trading activities, pricing, liquidity, order execution, and settlement.

30.2 Abnormal market conditions may result from significant economic events, political developments, natural disasters, public emergencies, system-wide disruptions, market volatility, or other unforeseen circumstances.

30.3 During abnormal market conditions, prices may change rapidly, liquidity may decrease, spreads may widen, and order execution may be delayed, rejected, suspended, or executed at prices different from those expected by the Client.

30.4 The Company cannot control abnormal market conditions and does not guarantee uninterrupted market availability or trading conditions.

31. Suspension or Restriction of Trading

31.1 Trading in certain financial instruments or markets may be suspended, restricted, delayed, or interrupted due to market conditions, regulatory requirements, actions by the Central Counterparty (CCP), exchanges, approved financial institutions, or other competent authorities.

31.2 During such events, the Company may be unable to process, execute, modify, or close transactions in the normal manner.

31.3 The Company may take reasonable measures to protect Clients, maintain orderly market operations, and comply with applicable laws, regulations, and regulatory requirements.

31.4 Clients acknowledge that trading opportunities may be limited or unavailable during periods of market disruption.

32.Force Majeure Events

32.1 The Company shall not be responsible for delays, interruptions, failures, or inability to perform its obligations where such circumstances arise from events beyond the Company's reasonable control.

32.2 Force Majeure events may include, but are not limited to:

a. natural disasters;
b. fire, flood, earthquake, or severe weather conditions;
c. war, armed conflict, terrorism, civil unrest, riots, or public disorder;
d. epidemics, pandemics, or public health emergencies;
e. government actions, regulatory restrictions, or legal requirements;
f. failures of communication networks, internet services, utilities, or power supplies;
g. failures of trading platforms, settlement systems, or information technology infrastructure;
h. cybersecurity incidents affecting critical systems; or
i. any other event beyond the reasonable control of the Company.

32.3 During a Force Majeure event, the Company may suspend, delay, modify, or take other reasonable actions relating to its products, services, systems, or operations as necessary to protect Clients, maintain operational stability, and comply with applicable laws and regulatory requirements.

32.4 The Company shall resume normal operations as soon as reasonably practicable following the resolution of the Force Majeure event.

32.5 Clients acknowledge that Force Majeure events may affect trading activities, market conditions, transaction processing, settlement, communications, and access to services.

PART VII – CLIENT RESPONSIBILITIES

33.Suitability of Trading

33.1 Derivative trading may not be suitable for every person.

33.2 Before opening an account or entering into any transaction, the Client should carefully consider whether derivative trading is appropriate in light of the Client's financial circumstances, investment objectives, knowledge, trading experience, and risk tolerance.

33.3 The Client acknowledges that derivative trading involves significant risks and that profits are not guaranteed.

33.4 The Client is responsible for ensuring that sufficient financial resources are available to support trading activities and absorb potential losses.

34.Independent Decision Making

34.1 The Client is solely responsible for all trading decisions and trading activities.

34.2 The Company does not make investment recommendations or guarantee the suitability, profitability, or success of any transaction.

34.3 Any market information, research, commentary, analysis, educational materials, or other information provided by the Company is for general informational purposes only and shall not be regarded as investment advice or a recommendation to enter into any transaction.

34.4 The Client should seek independent professional advice where appropriate before making any trading decision.

35.Understanding of Products and Services

35.1 The Client is responsible for understanding the characteristics, features, risks, costs, and obligations associated with the products and services offered by the Company.

35.2 The Client should carefully read and understand all documents provided by the Company before opening an account or engaging in trading activities.

35.3 The Client should request clarification from the Company if any part of the Company's documentation is unclear.

35.4 The Client acknowledges that failure to understand the products or services does not reduce the risks associated with derivative trading.

36.Monitoring of Trading Activities

36.1 The Client is responsible for monitoring account balances, open positions, margin levels, transaction history, and account activity.

36.2 The Client should regularly review account statements, trade confirmations, and other information made available by the Company.

36.3 The Client is responsible for promptly notifying the Company of any unauthorized access, suspected security incident, account discrepancy, or unusual activity.

36.4 The Client remains responsible for monitoring trading activities even when using electronic trading platforms or other communication channels.

37. Client Responsibilities for Account Security

37.1 The Client is responsible for maintaining the confidentiality and security of account credentials, passwords, authentication methods, and devices used to access the trading platform.

37.2 The Client shall take reasonable precautions to prevent unauthorized access to the Client's account.

37.3 The Client shall immediately notify the Company if the Client becomes aware of any unauthorized access, loss of credentials, suspected fraud, or cybersecurity incident affecting the Client's account.

37.4 The Client acknowledges that failure to protect account credentials may increase the risk of unauthorized transactions or financial loss.

PART VIII – CLIENT ACKNOWLEDGEMENT

38. Client Acknowledgement

38.1 The Client acknowledges that the Client has received, read, and understood this Risk Disclosure Statement before opening an account or engaging in trading activities with the Company.

38.2 The Client acknowledges that derivative trading involves significant financial risks and that losses may occur, including the loss of part or all of the funds deposited for trading purposes.

38.3 The Client acknowledges that the Company has explained, or made available, information relating to the nature of derivative trading, the risks involved, and the services provided by the Company.

38.4 The Client acknowledges that the Company provides its services on an execution-only basis and does not provide investment advice, financial advice, tax advice, legal advice, or investment recommendations.

38.5 The Client confirms that the Client has had sufficient opportunity to review this Risk Disclosure Statement and to seek independent professional advice before making any trading or investment decision.

38.6 The Client understands that past performance does not guarantee future performance and that market conditions may change rapidly and without prior notice.

38.7 The Client acknowledges that the Company cannot guarantee profits, prevent losses, or eliminate the risks associated with derivative trading.

38.8 The Client agrees that the decision to open an account and engage in trading activities has been made voluntarily and based on the Client's own independent assessment.

38.9 The Client acknowledges that this Risk Disclosure Statement should be read together with the Company's Terms and Conditions, Privacy Policy, Complaint Handling Policy, and other applicable documents provided by the Company.

38.10 By opening an account or continuing to use the Company's services, the Client confirms that the Client understands and accepts the risks described in this Risk Disclosure Statement.

PART IX – PRODUCT-SPECIFIC RISKS

39. Risks of Derivative Products

39.1 Derivative products derive their value from the performance or price movements of underlying financial instruments, commodities, currencies, indices, or other approved assets.

39.2 The value of derivative products may fluctuate significantly due to changes in market conditions.

39.3 Derivative trading involves financial risks that may result in partial or total loss of funds deposited for trading purposes.

39.4 Clients should ensure they understand the characteristics and risks of each product before entering into any transaction.

40. Foreign Exchange Risk

40.1 Transactions involving foreign exchange products are subject to fluctuations in exchange rates.

40.2 Exchange rate movements may occur rapidly due to economic, political, financial, or market developments.

40.3 Changes in exchange rates may increase losses or reduce potential profits.

41. Precious Metals Risk

41.1 Precious metals may experience significant price fluctuations due to changes in supply and demand, economic conditions, inflation expectations, monetary policies, geopolitical events, and market sentiment.

41.2 Prices of precious metals may be highly volatile and may change rapidly without prior notice.

42. Commodity Risk

42.1 Commodity prices may be affected by weather conditions, natural disasters, production levels, transportation disruptions, governmental policies, geopolitical developments, and global economic conditions.

42.2 Commodity markets may experience periods of high volatility and reduced liquidity.

43. Index Risk

43.1 Financial indices reflect the performance of a group of securities or other financial instruments.

43.2 Index values may fluctuate due to changes affecting one or more underlying components, market conditions, economic developments, or investor sentiment.

43.3 Events affecting individual components of an index may influence the overall index value.

44. New Products and Services

44.1 From time to time, the Company may introduce new products or services in accordance with applicable laws, regulations, and regulatory requirements.

44.2 Each product or service may involve different characteristics and risks.

44.3 Clients should carefully review all relevant disclosures, terms, conditions, and product information before using any new product or service.

44.4 The Company may provide additional risk disclosures where appropriate for specific products or services.

PART X – ELECTRONIC TRADING RISKS

45. Electronic Trading Services

45.1 The Company provides trading services through electronic trading platforms and other electronic communication channels.

45.2 Electronic trading depends on the availability and performance of information technology systems, communication networks, internet services, and other supporting infrastructure.

45.3 The Company does not guarantee uninterrupted availability of electronic trading services.

46. Electronic Order Risk

46.1 Orders submitted electronically may be subject to delays, interruptions, rejection, cancellation, modification, or execution at different prices due to market conditions or operational circumstances.

46.2 Clients acknowledge that electronic orders may not always be executed immediately or at the requested price.

46.3 Clients are responsible for verifying the status of submitted orders and monitoring their trading accounts.

47. Internet and Network Risk

47.1 Internet connectivity, communication networks, mobile networks, and other electronic services may experience interruptions, congestion, delays, failures, or instability.

47.2 Such events may affect access to trading platforms, account information, market data, or transaction processing.

47.3 The Company shall not be responsible for losses arising from failures or disruptions of internet services, communication networks, or other third-party services beyond the Company's reasonable control.

48. Device and Software Risk

48.1 Clients are responsible for maintaining suitable hardware, software, operating systems, internet connections, and security measures necessary for accessing the Company's trading services.

48.2 Outdated software, incompatible devices, incorrect configurations, or system failures may affect the Client's ability to access trading services.

48.3 The Company may periodically update its trading systems or software to improve functionality, security, or operational performance.

49.Cybersecurity Risk

49.1 Electronic trading systems may be exposed to cybersecurity threats, including unauthorized access, phishing attacks, malware, ransomware, hacking attempts, identity theft, data breaches, or other malicious activities.

49.2 The Company implements reasonable security measures to protect its systems and services; however, no electronic system can be completely secure.

49.3 Clients are responsible for safeguarding usernames, passwords, authentication methods, verification codes, and other account credentials.

49.4 Clients shall immediately notify the Company upon becoming aware of any unauthorized access, suspected fraud, loss of credentials, or cybersecurity incident affecting their account.

50.Electronic Records and Communications

50.1 The Company may provide account statements, trade confirmations, notices, disclosures, reports, and other communications electronically.

50.2 Clients are responsible for regularly reviewing electronic communications issued by the Company.

50.3 Failure to read or receive electronic communications does not relieve the Client of responsibilities arising from trading activities or applicable agreements.

50.4 Electronic records maintained by the Company may be used as evidence of transactions and communications, subject to applicable laws and regulations.

PART XI – CONFLICT OF INTEREST DISCLOSURE

51.Conflict of Interest

51.1 The Company is committed to conducting its business honestly, fairly, professionally, and in the best interests of its Clients while complying with applicable laws, regulations, and regulatory requirements.

51.2 A conflict of interest may arise where the interests of the Company, its directors, officers, employees, representatives, service providers, or other Clients differ from the interests of a Client.

51.3 The Company has established policies, procedures, and internal controls to identify, assess, manage, mitigate, and monitor actual, potential, or perceived conflicts of interest.

51.4 Where appropriate, the Company may disclose material conflicts of interest to affected Clients in accordance with applicable laws, regulations, and regulatory requirements.

51.5 The Company may decline to provide a product, service, or transaction where a conflict of interest cannot be appropriately managed or where required by applicable laws or regulatory requirements.

51.6 The existence of a conflict of interest does not necessarily mean that a Client will suffer loss or disadvantage. However, Clients should be aware that conflicts may arise during the course of business.

51.7 Clients who require additional information regarding the Company's management of conflicts of interest may request further information from the Company or refer to the Company's Conflict of Interest Policy, where applicable.

PART XII – IMPORTANT CLIENT REMINDERS

52.Client Responsibilities

52.1 Clients should carefully read and understand all documents provided by the Company before opening an account or engaging in trading activities.

52.2 Clients should ensure that the information and documents provided to the Company remain accurate, complete, and up to date.

52.3 Clients shall promptly notify the Company of any changes to their personal information, contact details, identification documents, financial information, or other information relevant to their account.

53.Account Security

53.1 Clients are responsible for maintaining the confidentiality of their account credentials, passwords, authentication methods, and security devices.

53.2 Clients should not disclose account credentials to any other person.

53.3 Clients should immediately notify the Company if they suspect unauthorized access, misuse of their account, or loss of security credentials.

54.Monitoring of Trading Activities

54.1 Clients should regularly monitor their account balances, open positions, transaction history, account statements, and notifications.

54.2 Clients should promptly review all confirmations, statements, notices, and communications issued by the Company.

54.3 Any discrepancies or unauthorized transactions should be reported to the Company without undue delay.

55.Communication with the Company

55.1 Clients should ensure that the Company is able to communicate with them through the contact information maintained in the Company's records.

55.2 Clients are responsible for regularly reviewing communications, notices, announcements, and updates published by the Company through its approved communication channels.

55.3 Failure to receive or review communications issued by the Company may affect the Client's awareness of important information relating to trading activities, regulatory requirements, or account operations.

56.Understanding of Risks

56.1 Clients should not engage in trading activities unless they fully understand the products, services, risks, and obligations associated with derivative trading.

56.2 Clients should seek clarification from the Company if they do not understand any information contained in this Risk Disclosure Statement or other documents provided by the Company.

56.3 Where appropriate, Clients should obtain independent financial, legal, tax, or other professional advice before making trading decisions.

PART XIII – GENERAL PROVISIONS

57. Amendments to this Risk Disclosure Statement

57.1 The Company may amend, revise, update, or replace this Risk Disclosure Statement from time to time to reflect changes in applicable laws, regulations, regulatory requirements, products, services, operational practices, or business activities.

57.2 The latest version of this Risk Disclosure Statement shall be made available through the Company's website, account opening documentation, or other communication channels determined by the Company.

57.3 Clients are encouraged to review the most current version of this Risk Disclosure Statement periodically.

58. Relationship with Other Company Documents

58.1 This Risk Disclosure Statement should be read together with the Company's:

a. Terms and Conditions;
b. Privacy Policy;
c. Complaint Handling Policy;
d. Risk Assessment Questionnaire
e. Account Opening Documentation; and
f. Any additional disclosures issued by the Company from time to time.

58.2 In the event of any inconsistency between this Risk Disclosure Statement and the Terms and Conditions, the Terms and Conditions shall prevail to the extent permitted by applicable laws and regulations.

59. Governing Law

59.1 This Risk Disclosure Statement shall be governed by and interpreted in accordance with the laws of the Kingdom of Cambodia.

59.2 This Risk Disclosure Statement is prepared in accordance with the Company's obligations under applicable laws, regulations, and regulatory requirements governing its business as a licensed Derivative Broker.

60. Effective Date

60.1 This Risk Disclosure Statement shall become effective on the date approved by the Company.

60.2 The Company shall review this Risk Disclosure Statement periodically to ensure that it remains appropriate, accurate, and consistent with applicable laws, regulations, regulatory requirements, and the Company's business operations.

Declaration

I acknowledge that I have received, read, and understood the COMMO T CO., LTD. Risk Disclosure Statement before opening my trading account. I understand that derivative trading involves significant risks, including the possible loss of part or all of my deposited funds for trading purposes. I confirm that I have had the opportunity to ask questions and seek independent professional advice before deciding to engage in trading activities.

Document Status

This document is intended to provide Clients with general information regarding the risks associated with derivative trading and should be read together with the Company's Terms and Conditions and other applicable documents. Nothing in this Risk Disclosure Statement limits or excludes any rights or obligations arising under applicable laws, regulations or regulatory requirements.

ANNEX 1 – CLIENT RISK ACKNOWLEDGEMENT
CLIENT RISK ACKNOWLEDGEMENT

This Client Risk Acknowledgement forms part of the Company's account opening documentation and should be read together with the Company's Risk Disclosure Statement, Terms and Conditions, Privacy Policy, Complaint Handling Policy, and other applicable documents.

Client Information

- Client Name:
- Account Number (if applicable):
- Identification / Passport No.:
- Date:

Declaration

I hereby acknowledge and confirm that:

1. I have received a copy of the Company's Risk Disclosure Statement before opening my trading account or engaging in any trading activities.
2. I have carefully read and understood the contents of the Risk Disclosure Statement.
3. I understand that derivative trading involves significant financial risks, including the possibility of losing part or all of the funds deposited for trading purposes.
4. I understand that the value of derivative products may increase or decrease due to market conditions and that past performance does not guarantee future results.
5. I acknowledge that the Company provides execution-only services and does not provide investment advice, financial advice, legal advice, tax advice, or recommendations regarding trading decisions.
6. I understand that I am solely responsible for my trading decisions and for assessing whether derivative trading is appropriate for my financial circumstances, objectives, knowledge, experience, and risk tolerance.
7. I confirm that I have been given sufficient opportunity to ask questions regarding the Company's products, services, trading procedures, and the risks associated with derivative trading.
8. I understand that the Company has advised me to seek independent professional advice if I require further clarification regarding the risks associated with derivative trading.
9. I acknowledge that I have read and understood the Company's Terms and Conditions, Privacy Policy, Complaint Handling Policy, and other applicable account opening documents.
10. I understand that by opening and maintaining a trading account with the Company, I accept the risks associated with derivative trading as described in the Company's Risk Disclosure Statement.
11. I confirm that the information and declarations provided by me are true, complete, and accurate to the best of my knowledge.

Client Declaration

I declare that I have voluntarily signed this Client Risk Acknowledgement after reading and understanding the Company's Risk Disclosure Statement.

I acknowledge that I have had sufficient opportunity to seek clarification and independent professional advice before deciding to open a trading account and engage in derivative trading.

Client Signature
Client Name:

Signature:
Date:

Company Representative
Representative Name:
Position:
Signature:
Date:
Company: COMMO T CO., LTD.

For Company Use Only
Verified By:
Date Received:
Account Approved By:
Remarks: